

PATENT Atty. Dkt. MRKS/0099



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew M. Duggan

Serial No.: 10/659,124

Confirmation No.: 3542

Filed:

September 10, 2003

For:

**Tubing Expansion Tool** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

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Group Art Unit: 3672

Examiner:

Unknown

Customer No.: 36735

CERTIFICATE OF MAILING 37 CFR 1.8

Sharlyn Guthrie Typed Name Sharly Stathui

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

The Applicant, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

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The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

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If the sum of \$180.00 is due under 37 CFR § 1.17(p) pursuant to § 1.97, the Commissioner is hereby authorized to charge this fee, and any other fee necessary to make this submission timely, to the Deposit Account No. 20-0782/MRKS/A0099/WCG.

Respectfully submitted,

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**Attorney for Applicant** 

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act 1995, no p

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INFORMATION DISCLOS STATEMENT BY APPEROR

(Use as many sheets as necessary)

Sheet 2

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Application Number	10/659,124	
Filing Date	September 10, 2003	
First Named Inventor	Andrew M. Duggan	
Group Art Unit	3672	
Examiner Name	Unknown	
Attorney Docket Number	MRKS/0099	
Submission Date	April 13, 2004	

			U.S. PATENT I	DOCUMENTS		
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where	
Initials*	No.¹	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY		Relevant Passages or Relevant Figures Appear	
	A1	US-				
	A2	US-				
	А3	US-				
	A4	US-				
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	A13	US-				
	A14	US-				
	A15	US-				
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	A17	US-				
	A18	US-				

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	T⁰
iniuais	No.¹	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	B1	GB 2 388 137	11-05-2003	Oosterling, et al.		
	B2	GB 2 383 361	06-25-2003	Metcalfe, et al.		
	В3	WO 01/38693	05-31-2001	Heijnen, et al.		
	B4					
	B5					

Examiner **Date Considered** 

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. sKind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. a Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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September 10, 2003

Andrew M. Duggan

3672 Unknown

**Date Considered** 

			Approved for use through 06/30/20	
•			U.S. Patent and Trademark Office: U.S. DEPARTME	ENT OF COMMERCE
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Substitute for form 1449B/PTO	/	Application Number	0/659,124	$\boldsymbol{\mathcal{I}}$

Filing Date

Group Art Unit

**Examiner Name** 

First Named Inventor

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(Use as many sheets as necessary)

Sheet 2

MRKS/0099 Attorney Docket Number 2004 Submission Date

	_	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		GB Search Report, Application No. GB 0321157.0, dated December 9, 2003, dated December 9, 2003	
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			( <del></del>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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